

Please complete the following supplemental application for Lawyers' Professional Liability Area of Practice. Please complete for each area of practice indicated on the application.

Applicant: _____

I. COLLECTIONS

N/A (skip questions 1-5)

1. What is the value of debt collected over the last 12 months?

a. Average: _____

b. Highest: _____

2. How many non-lawyer personnel are utilized on collection matters? _____

3. Does the firm utilize the following in its collection practice:

a. Form letters?

Yes No

b. Phone scripts?

Yes No

c. Indemnification agreements respecting alleged violations of the FDCPA or any other state or federal collection laws?

Yes No

If yes, provide details:

4. Indicate:

a. Number of attorneys in specialty: _____

b. Number of years in practice by most senior attorney in specialty: _____

c. Average years of experience in specialty _____

5. Are there any policies, processes or risk management techniques used in this area of practice that are different from those utilized in the rest of the firm?

Yes No

If no, provide details:

II. ESTATE PROBATE AND TRUST

N/A (skip questions 6-10)

6. What percentage of your estate probate and trust practice is derived from the following (Must equal 100%):

_____% Estate/Trust planning and drafting

_____% Investment/Money management

_____% Trust administration (no money management)

_____% Probate

_____% Other _____

_____% **Total**

7. Do you provide tax advice?

Yes No

8. Indicate:

- a. Average value of estates/trusts: _____
 b. Highest value of estates/trusts: _____

9. Indicate:

- a. Number of attorneys in specialty: _____
 b. Number of years in practice by most senior attorney in specialty: _____
 c. Average years of experience in specialty: _____

10. Are there any policies, processes or risk management techniques used in this area of practice that are different from those utilized in the rest of the firm? Yes No

If yes, provide details:

III. PLAINTIFF LITIGATION

N/A (skip questions 11-14)

11. What percentage of your plaintiff practice is derived from the following (Must equal 100%):

- | | |
|--|---|
| _____% Personal injury/property damage | _____% Products liability |
| _____% Medical malpractice | _____% Non-medical professional liability |
| _____% Mass tort/toxic torts | _____% Class action |
| _____% Commercial | _____% Employment |
| _____% Other _____ | _____% Total |

12. What is the:

- a. Average dollar value of cases closed during the last 12 months? _____
 b. Largest verdict or settlement achieved by the firm in the last 5 years? _____
 c. Average number of cases an individual lawyer handles per year? _____
 d. Percent of potential cases rejected by the firm? _____%
 e. Percent of total cases taken to trial? _____%

13. Indicate:

- a. Number of attorneys in specialty: _____
 b. Number of years in practice by most senior attorney in specialty: _____
 c. Average years of experience in specialty: _____

14. Are there any policies, processes or risk management techniques used in this area of practice that are different from those utilized in the rest of the firm? Yes No

If yes, provide details:

IV. INTELLECTUAL PROPERTY

N/A (skip questions 15-18)

15. What percentage of your intellectual property practice is derived from the following (Must equal 100%):

- | | |
|----------------------------------|---|
| _____ % US patent prosecution | _____ % Patent prosecution outside the US |
| _____ % US trademarks/copyrights | _____ % Other IP outside the US |
| _____ % US IP licensing | _____ % IP litigation |
| _____ % Patent searches | _____ % Trade secrets |
| _____ % Other _____ | _____ % Total |

16. Is the firm responsible for the calculation and payment of IP maintenance fees, taxes and patent annuities? Yes No

Describe the firm's process, including the use of third parties, if applicable:

17. Indicate:

- a. Number of attorneys in specialty: _____
- b. Number of years in practice by most senior attorney in specialty: _____
- c. Average years of experience in specialty: _____
- d. Number of attorneys admitted to the patent bar: _____
- e. Number of patent agents: _____

18. Are there any policies, processes or risk management techniques used in this area of practice that are different from those utilized in the rest of the firm? Yes No

If yes, provide details:

V. ENTERTAINMENT

N/A (skip questions 19–22)

19. What percentage of your entertainment practice is derived from the following (Must equal 100%):

_____ % TV or film actor	_____ % Individual athletes
_____ % Studios or other entertainment entities	_____ % Sports teams
_____ % Authors	_____ % Musicians
_____ % Other _____	_____ % Total

20. Services provided (check all that apply):

- Money management
- Contract/Appearance negotiation
- Estate/Trust planning
- Family law
- Investment/Financial planning advice
- Agent/Manager
- Trustee/Escrow agent
- Other _____

21. Indicate:

- a. Number of attorneys in specialty: _____
- b. Number of years in practice by most senior attorney in specialty: _____
- c. Average years of experience in specialty: _____

22. Are there any policies, processes or risk management techniques used in this area of practice that are different from those utilized in the rest of the firm? Yes No

If yes, provide details:

VII. SECURITIES/BONDS/CRYPTOCURRENCY

N/A (skip questions 23–27)

23. What percentage of your securities practice is derived from the following (Must equal 100%):

_____ % Private placements	_____ % Public placements
_____ % Syndications	_____ % Initial public offerings
_____ % Corporate bonds	_____ % Limited partnerships
_____ % Government and/or school bonds	_____ % Tax shelters
_____ % Cryptocurrency offerings	_____ % SPACs
_____ % Other _____	_____ % Total

24. Does the applicant have a written procedure in place:

- a. Requiring the preservation of the factual source, written records and verification made by the applicant's lawyers to support legal opinions and/or disclosures rendered by the applicant? Yes No
- b. Requiring at least one securities lawyer who is not working on the transaction in question to review and approve all written legal opinions and/or disclosure documents to be furnished in the transaction? Yes No
- c. Prohibiting its lawyers and staff from participating in the securities selling process? Yes No
- d. Prohibiting trading, investing and payment of fees in securities by firm members? Yes No
- e. Requiring executive or management committee review prior to any lawyer serving as director, officer, employee or general partner of a securities client? Yes No
- f. Preventing the improper use of material inside information or the tipping of such information by its lawyers and staff? Yes No

If the answer to any of these questions is no, describe fully:

25. During the past 5 years, has any applicant:

- a. Been the subject of any investigation by the SEC? Yes No
- b. Been the recipient of a subpoena from the SEC or any other governmental body? Yes No
- c. Been named a party to any legal action under the Securities Act of 1933 or 1934 or any other similar federal or state statute or common law related to the issuance, offering or sale of securities? Yes No
- d. Had a dispute with or been terminated by any client necessitating a disclosure to the securities regulators? Yes No

If the answer to any of these questions is no, describe fully:

26. Indicate:

- a. Number of attorneys in specialty: _____
- b. Number of years in practice by most senior attorney in specialty: _____
- c. Average years of experience in the specialty _____

27. Are there any policies, processes or risk management techniques used in this area of practice that are different from those utilized in the rest of the firm? Yes No

If yes, provide details:

28. Describe any services you provide to clients involving cryptocurrency, non-fugible tokens (NFTs) or other digital assets:

FRAUD STATEMENTS / SIGNATURE

The following is part of the Application:

Applicable in AL

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or who knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution fines or confinement in prison, or any combination thereof.

Applicable in AR, LA, MD, RI and WV

Any person who knowingly (or willfully)* presents a false or fraudulent claim for payment of a loss or benefit or knowingly (or willfully)* presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

*Applies in MD Only.

Applicable in CA

For your protection California law requires the following to appear on this form. Any person who knowingly presents false or fraudulent information to obtain or amend insurance coverage or to make a claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

Applicable in CO

It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

Applicable in DC

WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

Applicable in FL

Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree.

Applicable in KS

A "fraudulent insurance act" means an act committed by any person who, knowingly and with intent to defraud, presents, causes to be presented or prepares with knowledge or belief that it will be presented to or by an insurer, purported insurer, broker or any agent thereof, any written, electronic, electronic impulse, facsimile, magnetic, oral, or telephonic communication or statement as part of, or in support of, an application for the issuance of, or the rating of an insurance policy for personal or commercial insurance, or a claim for payment or other benefit pursuant to an insurance policy for commercial or personal insurance which such person knows to contain materially false information concerning any fact material thereto; or conceals, for the purpose of misleading, information concerning any fact material thereto.

Applicable in KY

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

Applicable in ME

It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or a denial of insurance benefits.

Applicable in NJ

Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

Applicable in NM

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.

Applicable in NY

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

Applicable in OH

Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

Applicable in OK

WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

Applicable in OR

Any person who knowingly and with intent to defraud or solicit another to defraud the insurer by submitting an application containing a false statement as to any material fact may be violating state law.

Applicable in PA

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

Applicable in PR

Any person who knowingly and with the intention of defrauding presents false information in an insurance application, or presents, helps, or causes the presentation of a fraudulent claim for the payment of a loss or any other benefit, or presents more than one claim for the same damage or loss, shall incur a felony and, upon conviction, shall be sanctioned for each violation by a fine of not less than five thousand dollars (\$5,000) and not more than ten thousand dollars (\$10,000), or a fixed term of imprisonment for three (3) years, or both penalties. Should aggravating circumstances be present, the penalty thus established may be increased to a maximum of five (5) years, if extenuating circumstances are present, it may be reduced to a minimum of two (2) years.

Applicable in TN and VA

It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

Applicable in WA

It is a crime to knowingly provide false, incomplete, or misleading information to an insurance company for the purposes of defrauding the company. Penalties include imprisonment, fines, and denial of insurance benefits.

APPLICANT'S STATEMENT: I HAVE READ THE ABOVE APPLICATION AND ANY ATTACHMENTS. I DECLARE THAT THE INFORMATION PROVIDED IN THEM IS TRUE, COMPLETE AND CORRECT TO THE BEST OF MY KNOWLEDGE AND BELIEF. THIS INFORMATION IS BEING OFFERED TO THE COMPANY AS AN INDUCEMENT TO ISSUE THE POLICY FOR WHICH I AM APPLYING.

PRODUCER'S SIGNATURE	PRODUCER'S NAME (Please Print)	STATE PRODUCER LICENSE NO. (Required in FL)
APPLICANT'S SIGNATURE	DATE	NATIONAL PRODUCER NUMBER